WHO MAY SERVE AS PRINCIPAL INVESTIGATOR IN THE
DUKE MEDICINE HUMAN RESEARCH PROTECTION PROGRAM
6/12/2011

As stated in the Duke University Faculty Handbook 2009, Appendix P, (Duke University Policy Manual, Policy #VII.180), the following policy on who may serve as principal investigator applies to Duke University employees:

“It is the University policy that only those with whom the University has or intends to have an on-going employment or contractual relationship may serve as Principal Investigators or Program Directors for projects, research or otherwise, supported by external funding sources. This policy is implemented as follows:

Campus Components
The status of principal investigators is granted as a matter of privilege to regular rank faculty and to select senior administrative staff. This status is available to faculty on the tenure track and to other regular rank faculty on the Research Professor or Professor of the Practice tracks. In special instances, other members of the University community may request permission to serve as Principal Investigator. Requests for PI status should be sent on behalf of the individual with an approval and endorsement from the relevant department chair (if applicable) to the dean; the request should include an endorsement and an assurance that the department will assume responsibility for the conduct of the grant or contract.

Medical Center Components
The status of principal investigator is granted as a matter of privilege to all faculty in the Medical Center and to select senior administrative staff. This status is available to faculty on tenure tracks I through III and to other regular rank faculty on the Research Professor, Clinical Professor, and Professor of the Practice of tracks. Faculty who are appointed to the non-regular rank tracks IV and V may serve as principal investigators with the approval and endorsement of their departmental chairpersons, who assure the assumption of responsibility for the conduct of the grant should the principal investigator not remain with the University for the duration of the project. In special instances, other members of the Medical Center community may request permission to serve as principal investigator with the approval and endorsement of their departmental chairperson.”

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