



WHO MAY SERVE AS PRINCIPAL INVESTIGATOR IN THE DUKE MEDICINE HUMAN RESEARCH PROTECTION PROGRAM

4/3/2021

As stated in the Duke University Faculty Handbook 2019, Appendix P, the following policy on who may serve as principal investigator applies to Duke University employees:

“It is the University policy that only those with whom the University has or intends to have an on-going employment or contractual relationship may serve as Principal Investigators or Program Directors for projects, research or otherwise, supported by external funding sources.” This policy is implemented as follows:

Campus Components

The status of principal investigators is granted as a matter of privilege to regular rank faculty and to select senior administrative staff. This status is available to faculty on the tenure track and to other regular rank faculty on the Research Professor or Professor of the Practice tracks. In special instances, other members of the University community may request permission to serve as Principal Investigator. Requests for PI status should be sent on behalf of the individual with an approval and endorsement from the relevant department chair (if applicable) to the dean; requests for faculty and other members appointed to university institutes and centers should be sent with an approval and endorsement from the relevant institute director and center director (if applicable) to the vice provost for interdisciplinary studies. The request should include an endorsement and an assurance that the department or institute/center will assume responsibility for the conduct of the research.

Medical Center Components

The status of principal investigator is granted as a matter of privilege to all regular rank faculty in the School of Medicine. In special instances, non-regular rank faculty, senior administrators and other members of the School of Medicine community may request permission to serve as principal investigator with the approval and endorsement of their departmental chairperson. In granting such approval, the chairperson assures that the department will assume responsibility for the conduct of the research should the principal investigator not remain with the University for the duration of the project. Requests for PI status should be sent from the departmental chairperson to the DUHS IRB Executive Director.

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